

JC10 Rec'd PCT/PTO 14 APR 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-00

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number, TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 0094.074A **DESIGNATED/ELECTED OFFICE (DO/EO/US)** wr See 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/US03/32644 INTERNATIONAL FILING DATE PRIORITY DATE October 15, 2003 October 15, 2002 TITLE OF INVENTION ATOMIC LAYER DEPOSITION OF NOBLE METALS APPLICANT(S) FOR DO/EO/US Senkevich et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1.

This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. 🛛 A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. C. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. a. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🗀 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. 🗌 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. □ · Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/531245

JC20 Rec'd PCT/PT0 1 4 APR 2005

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER			
Unknown			PCT/US03/32644			0094.074A			
21. The following fees are submitted:							licant use	Office use only	
⊠ a) Basic national fee						\$	\$300.00		
⊠ b) Examination fee							\$200.00		
⊠ c) Search fee							\$500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets				each additional 50 or RATE of (round up to a whole					
28 - 100 =	- 72 /50 =		0 ·		x \$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS NUMBER				RATE					
Total claims	17	- 20 =	0	x	\$50.00	\$	\$0.00		
Independent clair	ms 4	- 3 =	1	x	\$200.00	S	\$200.00		
Multiple Depen	dent Claims (if a	pplicable)		+	\$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =							\$1,200.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$0.00	***************************************	
SUBTOTAL =						\$	\$1,200.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).									
TOTAL NATIONAL FEE =							\$1,200.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +									
TOTAL FEES ENCLOSED =							\$1,200.00		
Amount to be refunded:							,	\$	
Amount to be charged:								\$	
a. 🗵 A check in the amount of \$1,200.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account in the amount of to cover the above fees.									
A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 08-1935 A duplicate copy of this sheet is enclosed.									
d. General Account General Series and the composition of this sheet is enclosed. General Series and the composition of this sheet is enclosed. General Series and the composition of this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or									
(b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Mary Louise Gioeni, Esq. HESLIN ROTHENBERG FARLEY & MESITI P.C. Mayy							1150 Cx	o un.	
Mary Louise Gioeni, Esq. HESLIN ROTHENBERG FARLEY & MESITI P.C. 5 Columbia Circle Mary Louise Gioeni, Esq. SIGNATURE									
Albany, New York 12203									
Telephone (518) 452-5600 Facsimile (518) 452-5579						Mary Louise Gioeni			
a acomme (510)	, .5=-3317				NAME				
April 14, 2005							41,779		
April 14, 2005					REGISTRATION NUMBER				

In Re Application of

RENSSELAER POLYTECHNIC INSTITUTE

Title:

ATOMIC LAYER DEPOSITION OF NOBLE METALS

Attorney Docket No.:

0094.074A

"EXPRESS MAIL" MAILING LABEL NO. EV 669214421 US

Date of Deposit: APRIL 14, 2005

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to:

MAIL STOP PTC COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

CHRISTINE ADKINS

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

DOCUMENTS INCLUDED (along with this Express Mail Certificate):

- * One (1) Acknowledgment Postcard
- * Certificate of Express Mailing Label No.: EV 669214421 US
- * Check in the amount of \$1200.00
- * Transmittal Letter under 35 U.S.C. 371 (2 pgs.)
- * Copy of International Application as filed (28 pgs. including 10 sheets of drawings)
- * Preliminary Amendment (6 pgs.)
- * Executed Declaration and Power of Attorney (2 x 3 pgs.)
- * Application Data Sheet (3 pgs.)
- * Information Disclosure Statement (with 2 references)